

03C0  
HHP  
~~0400~~  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

MeLampy et al.

Serial No.: 09/844,992

Group Art Unit: To Be Assigned

Filed: April 27, 2001

Examiner: To Be Assigned

Docket No.: 050115-1030

For: SYSTEM AND METHOD FOR ASSISTING IN CONTROLLING REAL-TIME TRANSPORT PROTOCOL FLOW  
THROUGH MULTIPLE NETWORKS VIA USE OF A CLUSTER OF SESSION ROUTERS

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:  
 Statement Under 37 C.F.R. 1.97(e), or  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:  
 Statement under 37 CFR 1.97(e), and  
 a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$\_\_\_\_\_. Please charge \$\_\_\_\_ to deposit account \_\_\_\_\_. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

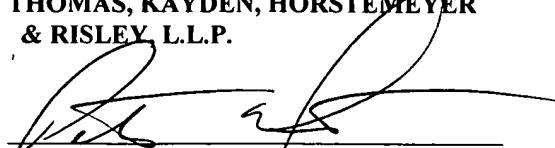
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
**Peter A. Nieves, Reg. No. P48,173**

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948  
770-933-9500

**CERTIFIED MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail," in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C.

20231 on June 20, 2001

  
Signature

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**

Form PTO-1449					Attorney Docket No. <b>050115-1030</b>		Serial No. <b>09/844,992</b>	
<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>					Applicant <b>MeLampy et al.</b>			
					Filing Date <b>April 27, 2001</b>		Group <b>To Be Assigned</b>	
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
	D	Nelson, "eConvergence Standards and Interoperability Update," dynamicsoft, Inc., NCF- Oct. 27, 1999, pp. 1-17.						
	E	Rosenberg, "SIP Application Servers: Proxies with Smarts," dynamicsoft, Inc., VON Developers' Conference, Jan. 19, 2000, pp. 1-22.						
	F	Tait, "Jain, Integrated Networks APIs for the Java Platform," Sun Microsystems, pp. 1-11.						

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

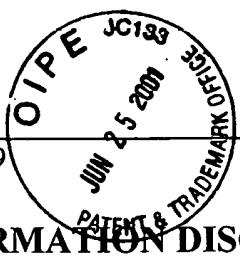
**EXAMINER'S SIGNATURE:**

DATE CONSIDERED:

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

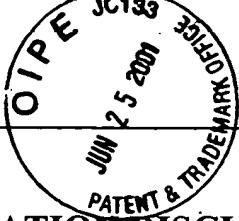
**DATE CONSIDERED:**



\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**



Form PTO-1449

Attorney Docket No.  
**050115-1030**

Serial No.  
09/844,992

# INFORMATION DISCLOSURE CITATION

*(Use several sheets if necessary)*

Applicant  
MeLampy et al.

Filing Date  
April 27, 2001

Filing Date  
April 27, 2001

**Group  
To Be Assigned**

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, etc.*)**

	P	Rosenberg, "SIP Update," dynamicsoft, Inc., Spring VON, March 27, 2000, pp. 1-16.
	Q	Nelson, "Trends in Instant Messaging and Presence," dynamicsoft, Inc., Spring VON, March 27, 2000, pp. 1-15.
	R	Specht, "PSTN/IP Gateways, Switches and Call Agents," dynamicsoft, Inc., Spring VON, March 27, 2000, pp. 1-12.

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**

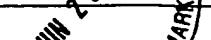


\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**



Form PTO-1449  <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Attorney Docket No. <b>050115-1030</b>	Serial No. <b>09/844,992</b>
	Applicant <b>MeLampy et al.</b>	
	Filing Date <b>April 27, 2001</b>	Group <b>To Be Assigned</b>

## U.S. PATENT DOCUMENTS

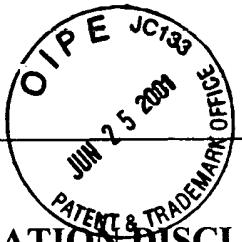
## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	V	Willis, "Advanced Voice Services," dynamicsoft, Inc., Supercomm 2000, June 8, 2000, pp. 1-22.
	W	Donovan, "Adopting Standards to Ensure QoS," dynamicsoft, Inc., Carrier Class IP Telephone, July 11, 2000, pp. 1-24.
	X	Rosenberg, "Introduction to IMPP," dynamicsoft, Inc., VoN Developers Conference, July 2000, pp. 1-29.

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:	DATE CONSIDERED:
-----------------------	------------------



Form PTO-1449

Attorney Docket No. <b>050115-1030</b>	Serial No. <b>09/844,992</b>
---	---------------------------------

# **INFORMATION DISCLOSURE CITATION**

*(Use several sheets if necessary)*

Applicant  
MeLampy et al.

**Filing Date**  
**April 27, 2001**

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, etc.*)

	Y	Rosenberg, "SIP Proxies," dynamicsoft, Inc., VoN Developers Conference, July 2000, pp. 1-30.
	Z	Rosenberg, "SIP for IP Communications," dynamicsoft, Inc., Fall VoN 2000, pp. 1-19.
	AA	Willis, "Session Initiation Protocol (SIP) and Related Standards," dynamicsoft, Inc., Voice over Net, Fall 2000, pp. 1-13.

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**



\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:**

**DATE CONSIDERED:**